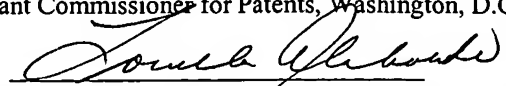




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CERTIFICATE OF MAILING	
I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as First Class Mail in an envelope addressed to Assistant Commissioner for Patents, Washington, D.C. 20231.	
<u>3/12/03</u> Date of deposit	<u></u> Louella Alabardo

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Inventor: Anil Suri  
Serial No.: 10/077,611  
Filed: February 15, 2002  
For: METHOD AND SYSTEM FOR THE MANAGEMENT OF  
STRUCTURED COMMODITY TRANSACTIONS AND TRADING  
OF RELATED FINANCIAL PRODUCTS

Docket No.: 103753-203-NP

Group Art Unit: 2161

**INFORMATION DISCLOSURE STATEMENT**

Assistant Commissioner for Patents  
Washington, DC 20231  
Dear Sir:


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MAR 19 2003  
**GROUP 3600**

Under the provisions of 37 C.F.R. §§ 1.56, 1.97 and 1.98, Applicants bring to the attention of the Examiner the documents listed on the attached PTO/SB/08 (substitute for form 1449/PTO). Copies of the listed documents are enclosed.

Each item of the information contained in this Information Disclosure Statement was cited in the International Search Report (ISR) issued on January 17, 2003 in the corresponding PCT application no. PCT/US02/10861. A copy of the ISR is enclosed. Applicants respectfully request that the Examiner consider the listed documents and indicate that they were considered by making appropriate notations on the attached form.

This Information Disclosure Statement is being filed within three months from the mailing date of the ISR, and therefore no fee is necessary.

Respectfully submitted,



Eva Tan  
Reg. No. 46,406  
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Approved for use through 10/31/2002. OMB 0651-0031

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Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

**Complete if Known**

<b>Application Number</b>	10/077,611
<b>Filing Date</b>	February 15, 2002
<b>First Named Inventor</b>	Anil Suri
<b>Group Art Unit</b>	2161
<b>Examiner Name</b>	
<b>Attorney Docket Number</b>	103753-203-NP

*(use as many sheets as necessary)*

Sheet 1 of 1

[illegible][illegible]

Date	
Considered	

<sup>1</sup> Unique citation designation number. <sup>2</sup> See attached Kinds of U.S. Patent Documents. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

**Burden Hour Statement:** This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U. S. Patent and Trademark Office, Washington, DC 20231. **DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.**